01-07-05

2172

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Peng

Serial No.: 09/840,739   Group Art Unit: 2172

Filed: April 24, 2001   Examiner: To, Baoquoc N.

For: APPARATUS AND METHODS FOR   Attorney Docket No.: 10480-013-999
INTELLIGENTLY CACHING APPLICATIONS
AND DATA ON A GATEWAY

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22323-1450

Sir:

In accordance with the duty of disclosure provisions of 37 C.F.R. §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the application.

This Information Disclosure Statement is submitted:

☒   under 37 CFR 1.97(b) or within three months of the filing date of a national application; or before mailing date of first office action on the merits; whichever occurs last

☐   under 37 CFR 1.97(c) together with either a:
    ☐   Statement under 37 CFR 1.97(e), or
    ☐   a $180 fee under 37 CFR 1.17(p), or
        (after the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)

☐   under 37 CFR 1.97(d) together with a:
    ☐   Statement under 37 CFT 1.97 (e)(1) or (2), and
    ☐   a $180 fee under 37 CFR 1.17 (p)
        (filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account# 502051 the sum of: $0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 502051 pursuant to 37 CFR 1.25.

04/06/2005 AJOHNS01 00000003 502051   09840739

☒   Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware.

BEST AVAILABLE COPY — 09 840739

PATENT APPLICATION FEE DETERMINATION RECORD
Effective October 1, 2000

CLAIMS AS FILED - PART I

	(Column 1)	(Column 2)
TOTAL CLAIMS	24	
FOR	NUMBER FILED	NUMBER EXTRA
TOTAL CHARGEABLE CLAIMS	24 minus 20 =	4
INDEPENDENT CLAIMS	2 minus 3 =	0
MULTIPLE DEPENDENT CLAIM PRESENT		☐

* If the difference in column 1 is less than zero, enter "0" in column 2

SMALL ENTITY TYPE ☐		OR	OTHER THAN SMALL ENTITY	
RATE	FEE		RATE	FEE
BASIC FEE	355.00	OR	BASIC FEE	710.00
X$ 9=	36	OR	X$18=	
X40=		OR	X80=	
+135=		OR	+270=	
TOTAL	391	OR	TOTAL	

CLAIMS AS AMENDED - PART II

1-6-05

AMENDMENT A

	(Column 1) CLAIMS REMAINING AFTER AMENDMENT		(Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR	(Column 3) PRESENT EXTRA
Total	24	Minus	**	=
Independent	2	Minus	***	=
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM				☐

SMALL ENTITY		OR	OTHER THAN SMALL ENTITY	
RATE	ADDI-TIONAL FEE		RATE	ADDI-TIONAL FEE
X$ 9=		OR	X$18=	
X40=		OR	X80=	
+135=		OR	+270=	
TOTAL ADDIT. FEE		OR	TOTAL ADDIT. FEE	

AMENDMENT B

	(Column 1) CLAIMS REMAINING AFTER AMENDMENT		(Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR	(Column 3) PRESENT EXTRA
Total	*	Minus	**	=
Independent	*	Minus	***	=
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM				☐

RATE	ADDI-TIONAL FEE		RATE	ADDI-TIONAL FEE
X$ 9=		OR	X$18=	
X40=		OR	X80=	
+135=		OR	+270=	
TOTAL ADDIT. FEE		OR	TOTAL ADDIT. FEE	

AMENDMENT C

	(Column 1) CLAIMS REMAINING AFTER AMENDMENT		(Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR	(Column 3) PRESENT EXTRA
Total	*	Minus	**	=
Independent	*	Minus	***	=
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM				☐

RATE	ADDI-TIONAL FEE		RATE	ADDI-TIONAL FEE
X$ 9=		OR	X$18=	
X40=		OR	X80=	
+135=		OR	+270=	
TOTAL ADDIT. FEE		OR	TOTAL ADDIT. FEE	

* If th entry in column 1 is less than the entry in column 2, writ "0" in column 3.
** If th "Highest Number Pr viously Paid For" IN THIS SPACE is less than 20, enter "20".
*** If the "Highest Number Previously Paid F r" IN THIS SPACE is less than 3, enter "3."
The "Highest Number Previously Paid F r" (Total or Indep ndent) is the highest number found in the appropriat box in column 1.

FORM PTO-875
(Rev. 8/00)

Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE
*U.S. GPO: 2000-460-703/30103